

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

|  |   |   |
|--|---|---|
| -----X   |   | :   |
| <b>In re</b>                                   | : | <b>Chapter 11 Case No.</b>                |
|  | : |   |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>  | : | <b>08-13555 (JMP)</b>                     |
|  | : | <b>(Jointly Administered)</b>             |
| <b>Debtors.</b>                                | : |   |
|  | : | <b>Ref. Docket Nos. 37730, 38062,</b>     |
|  | : | <b>38071, 38072, 38075, 38083, 38084,</b> |
|  | : | <b>38088, 38091-38102, 38115</b>          |
|  | : |   |
| -----X   |   | :   |
| <b>In re</b>                                   | : | <b>Chapter 11 Case No.</b>                |
|  | : |   |
| <b>LEHMAN BROTHERS SPECIAL FINANCING INC.,</b> | : | <b>08-13888 (JMP)</b>                     |
|  | : | <b>(Jointly Administered)</b>             |
| <b>Debtors.</b>                                | : |   |
|  | : | <b>Ref. Docket Nos. 359-371</b>           |
|  | : |   |
|  | : |   |
| -----X   |   | :   |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 25, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
2<sup>nd</sup> day of July, 2013

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BARCLAYS BANK PLC  
TRANSFEROR: MAGNETAR CAPITAL MASTER FUND, LTD  
C/O BARCLAYS  
ATTN: AILEEN MONTANA  
1301 AVENUE OF THE AMERICAS  
NEW YORK NY 10166

BARCLAYS BANK PLC  
BARCLAYS  
ATTN: ANTHONY VITIELLO, DAN MIRANDA, JOSEPH  
745 SEVENTH AVENUE  
NEW YORK NY 10019

Please note that your claim # 12715-01 in the above referenced case and in the amount of  
\$18,612,335.77 allowed at \$11,715,165.00 has been transferred (unless previously expunged by court order)

VARDE INVESTMENT PARTNERS, L.P.  
TRANSFEROR: BARCLAYS BANK PLC  
ATTN: EDWINA P.J. STEFFER  
8500 NORMANDALE LAKE BOULEVARD, SUITE 1570  
MINNEAPOLIS MN 55437

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 38075 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/25/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 25, 2013.

## **EXHIBIT B**

TIME: 11:42:01  
DATE: 06/25/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

| Name                               | Address  |
|------------------------------------|--|
| BARCLAYS BANK PLC                  | BARCLAYS ATTN: ANTHONY VITIELLO, DAN MIRANDA, JOSEPH PUCCIO 745 SEVENTH AVENUE NEW YORK NY 10019   |
| BARCLAYS BANK PLC                  | ANTHONY VITIELLO BARCLAYS BANK PLC 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019   |
| BARCLAYS BANK PLC                  | TRANSFEROR: MAGNETAR CAPITAL MASTER FUND, LTD C/O BARCLAYS ATTN: AILEEN MONTANA 1301 AVENUE OF THE AMERICAS NEW YORK NY 10166  |
| BARCLAYS BANK PLC                  | TRANSFEROR: MOUNT KELLETT MASTER FUND II, LP ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  |
| BARCLAYS BANK PLC                  | TRANSFEROR: VARDE FUND X (MASTER) LP, THE ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019   |
| CBA VITA SPA                       | ATTN: MR. BIAGIO MASSI VIA VITTOR PISANI, 13 MILANO 20124 ITALY  |
| CBA VITA SPA                       | ANDREA SURIANO SIMMONS & SIMMONS VIA DI SAN BASILIO 72 ROME 00187 ITALY  |
| CITIGROUP FINANCIAL PRODUCTS INC.  | ELANIT A. SNOW PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019  |
| CITIGROUP FINANCIAL PRODUCTS INC.  | TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720   |
| CITIGROUP FINANCIAL PRODUCTS, INC. | PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ELANIT A. SNOW 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019  |
| CITIGROUP FINANCIAL PRODUCTS, INC. | TRANSFEROR: PRIME CAPITAL MASTER SPC, GOT WAT MAC SEGREGATED PORTFOLIO ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720                                 |
| CITIGROUP FINANCIAL PRODUCTS, INC. | TRANSFEROR: WATERSTONE MARKET NEUTRAL MASTER FUND, LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720  |
| CITIGROUP FINANCIAL PRODUCTS, INC. | TRANSFEROR: WATERSTONE MF FUND, LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720   |
| CVI CWF II LUX MASTER S.A.R.L.     | TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. ATTN: TIFFANY PARR 9320 EXCELSIOR BOULEVARD HO/KINS MN 55343  |
| CVI CWF II LUX MASTER S.A.R.L.     | TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. ATTN: TIFFANY PARR 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343  |
| DON PROHASKA & ASSOCIATES          | 3465 REGENT AVENUE EUGENE OR 97401   |
| JEFFERIES INTERNATIONAL LIMITED    | TRANSFEROR: BANCA PROFILLO S.P.A. VINTNERS PLACE 68 UPPER THAMES STREET LONDON EC4V 3BJ UNITED KINGDOM   |
| JPMORGAN CHASE BANK, NA            | TRANSFEROR: TWO SIGMA HORIZON PORTFOLIO, LLC C/O J.P. MORGAN SECURITIES LLC - ATTN: JEFFREY PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, 37TH FLOOR NEW YORK NY 10179 |
| MERRILL LYNCH INTERNATIONAL        | TRANSFEROR: CBA VITA SPA ATTN: GINA GRASSO 2 KING EDWARD STREET LONDON EC1A 1HQ UNITED KINGDOM   |
| MOUNT KELLETT MASTER FUND II, LP   | TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC 623 FIFTH AVENUE, 18TH FLOOR NEW YORK NY 10022  |
| MOUNT KELLETT MASTER FUND II, LP   | TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O MOUNT KELLETT CAPITAL MANAGEMENT LP 623 5TH AVENUE - FLOOR 18 NEW YORK NY 10022-9139   |
| OZ SPECIAL MASTER FUND, LTD.       | TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP ATTN: LESLIE EVANS 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019                |
| RIVERROCK SECURITIES LIMITED       | TRANSFEROR: EFG BANK AG ATTN: GUILHEM GOYARD - MANAGING DIRECTOR 14 GROSVENOR CRESCENT LONDON SW1X 7EE UNITED KINGDOM  |
| TACONIC CAPITAL PARTNERS 1.5 L.P.  | TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O TACONIC CAPITAL ADVISORS LP ATTN: MICHAEL ZACZYK 450 PARK AVENUE, 9TH FLOOR NEW YORK NY 10022                                 |
| TACONIC CAPITAL PARTNERS 1.5 L.P.  | TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC C/O TACONIC CAPITAL ADVISORS LP ATTN: TIM ANDRIKS 450 PARK AVENUE NEW YORK NY 10022                                     |
| TACONIC CAPITAL PARTNERS 1.5 L.P.  | TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC C/O TACONIC CAPITAL ADVISORS, L.P. ATTN: TIM ANDRIKS 450 PARK AVENUE NEW YORK NY 10022                                  |
| TACONIC CAPITAL PARTNERS 1.5 L.P.  | TRANSFEROR: NOMURA GLOBAL FINANCIAL PRODUCTS, INC. C/O TACONIC CAPITAL ADVISORS LP ATTN: TIM ANDRIKS 450 PARK AVENUE, 8TH FLOOR NEW YORK NY 10022                      |
| TACONIC MASTER FUND 1.5 LP         | TRANSFEROR: TACONIC CAPITAL PARTNERS 1.5 L.P. C/O TACONIC CAPITAL ADVISORS LP ATTN: MICHAEL ZACZYK 450 PARK AVENUE, 9TH FLOOR NEW YORK NY 10022                        |
| TACONIC MASTER FUND I.5 LP         | TRANSFEROR: TACONIC CAPITAL PARTNERS 1.5 L.P. C/O TACONIC CAPITAL ADVISORS LP ATTN: MICHAEL ZACZYK 450 PARK AVENUE, 9TH FLOOR NEW YORK NY 10022                        |
| TACONIC OPPORTUNITY FUND, L.P.     | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O TACONIC CAPITAL ADVISORS LP 450 PARK AVENUE 8TH FLOOR NEW YORK NY 10022   |
| TACONIC OPPORTUNITY FUND, L.P.     | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O TACONIC CAPITAL ADVISORS LP 450 PARK AVENUE 8TH FLOOR NEW YORK NY 10022   |
| TACONIC OPPORTUNITY FUND, L.P.     | TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC C/O TACONIC CAPITAL ADVISORS L.P. ATTN: TIM ANDRIKS 450 PARK AVENUE NEW YORK NY 10022                                   |
| TACONIC OPPORTUNITY MASTER FUND LP | TRANSFEROR: TACONIC OPPORTUNITY FUND, L.P. C/O TACONIC CAPITAL ADVISORS LP ATTN: MICHAEL ZACZYK 450 PARK AVENUE, 9TH FLOOR NEW YORK N 10022                            |
| TACONIC OPPORTUNITY MASTER FUND LP | TRANSFEROR: TACONIC OPPORTUNITY FUND, L.P. C/O TACONIC CAPITAL ADVISORS LP ATTN: MICHAEL ZACZYK 450 PARK AVENUE, 9TH FLOOR NEW YORK NY 10022                           |
| TACONIC OPPORTUNITY MASTER FUND LP | TRANSFEROR: TACONIC OPPORTUNITY FUND, L.P. C/O TACONIC CAPITAL ADVISORS LP ATTN: MICHAEL ZACZYK 450 PARK AVENUE, 9TH FLOOR NEW YORK NY 10022                           |
| TGOTO LTD                          | TRANSFEROR: RIVERROCK SECURITIES LIMITED ATTN: TARO GOTO - DIRECTOR 44 VIVIAN WAY LONDON N2 0HZ UNITED KINGDOM   |
| TGOTO LTD                          | TRANSFEROR: RIVERROCK SECURITIES LIMITED ATTN: TARO GOTO - DIRECTOR 44 VIVIAN WAY LONDON N2 0HZ UNITED KINGDOM   |
| TWO SIGMA HORIZON PORTFOLIO, LLC   | C/O TWO SIGMA INVESTMENTS, LLC ATTN: MICHAEL MARINIC 379 WEST BROADWAY, 5TH FLOOR NEW YORK NY 10012  |
| VARDE CREDIT PARTNERS MASTER, L.P. | TRANSFEROR: JEFFERIES INTERNATIONAL LIMITED ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD SUITE 1500 MINNEAPOLIS MN 55437                                   |
| VARDE FUND X (MASTER) LP, THE      | TRANSFEROR: SEA PORT GROUP SECURITIES, LLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD SUITE 1500 MINNEAPOLIS MN 55437                                    |
| VARDE INVESTMENT PARTNERS, L.P.    | TRANSFEROR: BARCLAYS BANK PLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1570 MINNEAPOLIS MN 55437  |
| VONWIN CAPITAL MANAGEMENT, LP      | TRANSFEROR: DON PROHASKA & ASSOCIATES C/O VONWIN CAPITAL, LLC ITS GENERAL PARTNER ROGER VON SPIEGEL 261 FIFTH AVENUE, 22ND FLOOR NEW YORK NY 10016                     |